Connecting the chiral and heavy quark limits: full mass dependence of fermion determinant in an instanton background

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# Connecting the chiral and heavy quark limits: full mass dependence of fermion determinant in an instanton background 

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Received 7 November 2005, in final form 13 December 2005
Published 10 May 2006
Online at stacks.iop.org/JPhysA/39/6283


#### Abstract

This talk reports work done in collaboration with Jin Hur, Choonkyu Lee and Hyunsoo Min concerning the computation of the precise mass dependence of the fermion determinant for quarks in the presence of an instanton background. The result interpolates smoothly between the previously known chiral and heavy quark limits of extreme small and large mass. The computational method makes use of the fact that the single instanton background has radial symmetry, so that the computation can be reduced to a sum over partial waves of logarithms of radial determinants, each of which can be computed numerically in an efficient manner using a theorem of Gelfand and Yaglom. The bare sum over partial waves is divergent and must be regulated and renormalized. We use the angular momentum cutoff regularization and renormalization scheme. Our results provide an extension of the Gelfand-Yaglom result to higherdimensional separable differential operators. I also comment on the application of this approach to a wide variety of fluctuation determinant computations in quantum field theory.


PACS numbers: 12.38.-t, 11.15.Kc, 02.60.-x, 02.70.Hm

## 1. Introduction

In this paper I present an overview of some recent progress [1] in computing one loop quantum vacuum polarization effects. Mathematically, this requires computing the determinant of a fluctuation operator, which describes the quadratic fluctuations about a semiclassical solution. This is a difficult problem, but it is worth studying as it has important physical applications to computations of the effective action, the partition function or the free energy. It is also an interesting mathematical problem to learn about the spectral properties of partial differential operators. In this paper I focus mainly on the computation of fermion determinants in nontrivial background fields, which is an important challenge for both continuum and lattice quantum field theory. Explicit analytic results are known only for very simple backgrounds,
and are essentially all variations on the original work of Heisenberg and Euler [2, 3]. For applications in quantum chromodynamics (QCD), an important class of background gauge fields are instanton fields, as these minimize the Euclidean gauge action within a given topological sector of the gauge field. Furthermore, instanton physics has many important phenomenological consequences [4-6]. Thus, we are led to consider the fermion determinant, and the associated one-loop effective action, for quarks of mass $m$ in an instanton background. Here, no exact results are known for the full mass dependence, although several terms have been computed analytically in the small mass [4, 7, 8] and large mass [9, 8] limits. Recently [1], with Hur, Lee and Min, we presented a new computation which is numerical, but essentially exact, that evaluates the one-loop effective action in a single instanton background, for any value of the quark mass (and for arbitrary instanton size parameter). The result is fully consistent with the known small and large mass limits, and interpolates smoothly between these limits. This could be of interest for the extrapolation of lattice results [10], obtained at unphysically large quark masses, to lower physical masses, and for various instanton-based phenomenology.

Our computational method is simple and efficient, and can be adapted to many other determinant computations in which the background is sufficiently symmetric so that the problem can be reduced to a product of one-dimensional radial determinants. While this is still a very restricted set of background field configurations, it contains many examples of interest, the single instanton being one of the most obvious. The method is based on the Gelfand-Yaglom method for computing determinants of ordinary differential operators [11-16]. But in higher-dimensional problems with partial differential operators, it is known [14] that the naive generalization is divergent, even for simple separable problems where there is an infinite number of 1D determinants to deal with. Physically, this divergence reflects the fact that in dimensions greater than one, one must confront renormalization. Our results can be viewed, in fact, as giving an extension of the Gelfand-Yaglom result to higher-dimensional separable differential operators.

## 2. Preliminaries: effective asction in an instanton background

The first step is to recall that since the instanton background field is self-dual, we can deduce the fermion determinant from a computation of the determinant of the associated Klein-Gordon operator. This is because self-dual gauge fields have the remarkable property that the Dirac and Klein-Gordon operators in such a background are isospectral [4, 17]. This implies that the renormalized one-loop effective action of a Dirac spinor field of mass $m$ (and isospin $\frac{1}{2}$ ), $\Gamma_{\text {ren }}^{F}(A ; m)$, is related to the corresponding scalar effective action, $\Gamma_{\text {ren }}^{S}(A ; m)$, for a complex scalar of mass $m$ (and isospin $\frac{1}{2}$ ) by $[4,8]$

$$
\begin{equation*}
\Gamma_{\mathrm{ren}}^{F}(A ; m)=-2 \Gamma_{\mathrm{ren}}^{S}(A ; m)-\frac{1}{2} \ln \left(\frac{m^{2}}{\mu^{2}}\right) \tag{1}
\end{equation*}
$$

where $\mu$ is the renormalization scale. The $\ln$ term in (1) corresponds to the existence of a zero eigenvalue in the spectrum of the Dirac operator for a single instanton background. We consider an $\mathrm{SU}(2)$ single instanton background, in regular gauge [4, 18]:
$A_{\mu}(x) \equiv A_{\mu}^{a}(x) \frac{\tau^{a}}{2}=\frac{\eta_{\mu \nu v} \tau^{a} x_{v}}{r^{2}+\rho^{2}}, \quad F_{\mu \nu}(x) \equiv F_{\mu \nu}^{a}(x) \frac{\tau^{a}}{2}=-\frac{2 \rho^{2} \eta_{\mu \nu} \tau^{a}}{\left(r^{2}+\rho^{2}\right)^{2}}$,
where $\eta_{\mu \nu a}$ are the standard 't Hooft symbols [4, 6].
The one-loop effective action must be regularized. We use Pauli-Villars regularization (with heavy regulator mass $\Lambda$ ), adapted to the Schwinger proper-time formalism, and later


Figure 1. Plot of the analytic small and large mass expansions for $\tilde{\Gamma}_{\text {ren }}^{S}(m)$, from equation (6). Note the gap in the region $0.5 \leqslant m \leqslant 1$, in which the two expansions do not match up.
we relate this to dimensional regularization, as in the work of 't Hooft [4]. The regularized effective action has the proper-time representation
$\Gamma_{\Lambda}^{S}(A ; m)=-\int_{0}^{\infty} \frac{\mathrm{d} s}{\mathrm{~s}}\left(\mathrm{e}^{-m^{2} s}-\mathrm{e}^{-\Lambda^{2} s}\right) \int \mathrm{d}^{4} x \operatorname{tr}\langle x| \mathrm{e}^{-s\left(-\mathrm{D}^{2}\right)}-\mathrm{e}^{-s\left(-\partial^{2}\right)}|x\rangle$,
where $D^{2} \equiv D_{\mu} D_{\mu}$, and $D_{\mu}=\partial_{\mu}-\mathrm{i} A_{\mu}(x)$. The renormalized effective action, in the minimal subtraction scheme, is defined as $[4,8]$

$$
\begin{align*}
\Gamma_{\text {ren }}^{S}(A ; m) & =\lim _{\Lambda \rightarrow \infty}\left[\Gamma_{\Lambda}^{S}(A ; m)-\frac{1}{12} \frac{1}{(4 \pi)^{2}} \ln \left(\frac{\Lambda^{2}}{\mu^{2}}\right) \int \mathrm{d}^{4} x \operatorname{tr}\left(F_{\mu \nu} F_{\mu \nu}\right)\right] \\
& \equiv \lim _{\Lambda \rightarrow \infty}\left[\Gamma_{\Lambda}^{S}(A ; m)-\frac{1}{6} \ln \left(\frac{\Lambda}{\mu}\right)\right] \tag{4}
\end{align*}
$$

where we have subtracted the charge renormalization counter term, and $\mu$ is the renormalization scale. By dimensional considerations, we may introduce the modified scalar effective action $\tilde{\Gamma}_{\text {ren }}^{S}(m \rho)$, which is a function of $m \rho$ only, defined by

$$
\begin{equation*}
\Gamma_{\text {ren }}^{S}(A ; m)=\tilde{\Gamma}_{\text {ren }}^{S}(m \rho)+\frac{1}{6} \ln (\mu \rho) \tag{5}
\end{equation*}
$$

and concentrate on studying the $m \rho$ dependence of $\tilde{\Gamma}_{\text {ren }}^{S}(m \rho)$. Then there is no loss of generality in our setting the instanton scale $\rho=1$ henceforth.

It is known from previous work that in the small mass [4, 7, 8] and large mass [8, 9] limits, $\tilde{\Gamma}_{\text {ren }}^{S}(m)$ behaves as
$\tilde{\Gamma}_{\text {ren }}^{S}(m)= \begin{cases}\alpha(1 / 2)+\frac{1}{2}(\ln m+\gamma-\ln 2) m^{2}+\cdots, & m \rightarrow 0 \\ -\frac{\ln m}{6}-\frac{1}{75 m^{2}}-\frac{17}{735 m^{4}}+\frac{232}{2835 m^{6}}-\frac{7916}{148225 m^{8}}+\cdots, & m \rightarrow \infty,\end{cases}$
where $\alpha(1 / 2)=-\frac{5}{72}-2 \zeta^{\prime}(-1)-\frac{1}{6} \ln 2 \simeq 0.145873$, and $\gamma \simeq 0.5772 \ldots$ is Euler's constant. This small mass expansion is based on the fact that the massless propagators in an instanton background are known in closed form. On the other hand, the large mass expansion in (6) can be computed in several ways. A very direct approach is to use the small-s behaviour of the proper-time function appearing in (3), as given by the Schwinger-DeWitt expansion.

Equation (6) summarizes what is known analytically about the mass dependence of the renormalized one-loop effective action in an instanton background. This situation is represented in figure 1 , which shows a distinct gap approximately in the region $0.5 \leqslant m \leqslant 1$, where the small and large mass expansions do not match up.

## 3. The Gelfand-Yaglom technique

A beautiful result of Gelfand and Yaglom [11] provides a spectacularly simple way to compute the determinant of a one-dimensional differential operator. Their result has since been extended in various ways [12-16], but here we will only need their result for radial differential operators. Suppose $\mathcal{M}_{1}$ and $\mathcal{M}_{2}$ are two second order ordinary differential operators on the interval $r \in[0, \infty)$, with Dirichlet boundary conditions assumed. In practice we will choose $\mathcal{M}_{1}$ to be the operator of interest, and $\mathcal{M}_{2}$ to be the corresponding free operator. Then the ratio of the determinants is given by

$$
\begin{equation*}
\frac{\operatorname{det} \mathcal{M}_{1}}{\operatorname{det} \mathcal{M}_{2}}=\lim _{R \rightarrow \infty}\left(\frac{\psi_{1}(R)}{\psi_{2}(R)}\right) \tag{7}
\end{equation*}
$$

where $\psi_{i}(r)$ (for $i=1,2$ labelling the two different differential operators, $\mathcal{M}_{1}$ and $\mathcal{M}_{2}$ ) satisfies the initial value problem

$$
\begin{equation*}
\mathcal{M}_{i} \psi_{i}(r)=0, \quad \text { with } \quad \psi_{i}(0)=0 \quad \text { and } \quad \psi_{i}^{\prime}(0)=1 \tag{8}
\end{equation*}
$$

Note that no direct information about the spectrum (either bound or continuum states, or phase shifts) is required in order to compute the determinant. All that is required is the integration of (8), which is straightforward to implement numerically.

## 4. Radial formulation

Returning now to the instanton determinant problem, we can use the fact that the single instanton background (2) has radial symmetry [4]. Thus, the regularized one-loop effective action (3) can be reduced to a sum over partial waves of logarithms of determinants of radial ordinary differential operators. Each such radial determinant can be computed using the Gelfand-Yaglom result (7). Unfortunately, the sum over all partial waves is divergent. The physical challenge is to renormalize this divergent sum.

In the instanton background (2), with scale $\rho=1$, the Klein-Gordon operator $-D^{2}$ for isospin $\frac{1}{2}$ particles can be cast in the radial form [4]
$-D^{2} \rightarrow \mathcal{H}_{(l, j)} \equiv\left[-\frac{\partial^{2}}{\partial r^{2}}-\frac{3}{r} \frac{\partial}{\partial r}+\frac{4 l(l+1)}{r^{2}}+\frac{4(j-l)(j+l+1)}{r^{2}+1}-\frac{3}{\left(r^{2}+1\right)^{2}}\right]$,
where $l=0, \frac{1}{2}, 1, \frac{3}{2}, \ldots$, and $j=\left|l \pm \frac{1}{2}\right|$, and there is a degeneracy factor of $(2 l+1)(2 j+1)$ for each partial wave characterized by $(l, j)$-values. In the absence of the instanton background, the free operator is

$$
\begin{equation*}
-\partial^{2} \rightarrow \mathcal{H}_{(l)}^{\mathrm{free}} \equiv\left[-\frac{\partial^{2}}{\partial r^{2}}-\frac{3}{r} \frac{\partial}{\partial r}+\frac{4 l(l+1)}{r^{2}}\right] \tag{10}
\end{equation*}
$$

This radial decomposition means that we can express the Pauli-Villars regularized effective action also as

$$
\begin{align*}
\Gamma_{\Lambda}^{S}(A ; m)= & \sum_{l=0, \frac{1}{2}, \ldots} \operatorname{deg}(l)\left\{\ln \left(\frac{\operatorname{det}\left[\mathcal{H}_{\left(l, l+\frac{1}{2}\right)}+m^{2}\right]}{\operatorname{det}\left[\mathcal{H}_{(l)}^{\text {fre }}+m^{2}\right]}\right)+\ln \left(\frac{\operatorname{det}\left[\mathcal{H}_{\left(l+\frac{1}{2}, l\right)}+m^{2}\right]}{\operatorname{det}\left[\mathcal{H}_{\left(l+\frac{1}{2}\right)}^{\text {fre }}+m^{2}\right]}\right)\right. \\
& \left.-\ln \left(\frac{\operatorname{det}\left[\mathcal{H}_{\left(l, l+\frac{1}{2}\right)}+\Lambda^{2}\right]}{\operatorname{det}\left[\mathcal{H}_{(l)}^{\text {fee }}+\Lambda^{2}\right]}\right)-\ln \left(\frac{\operatorname{det}\left[\mathcal{H}_{\left(l+\frac{1}{2}, l\right)}+\Lambda^{2}\right]}{\operatorname{det}\left[\mathcal{H}_{\left(l+\frac{1}{2}\right)}^{\text {free }}+\Lambda^{2}\right]}\right)\right\} . \tag{11}
\end{align*}
$$

Here we have combined the radial determinants for $\left(l, j=l+\frac{1}{2}\right)$ and $\left(l+\frac{1}{2}, j=\left(l+\frac{1}{2}\right)-\frac{1}{2}\right)$, which have the common degeneracy factor $\operatorname{deg}(l)=(2 l+1)(2 l+2)$.

The Gelfand-Yaglom technique provides a simple and efficient numerical technique for computing each of the radial determinants appearing in (11). But to extract the renormalized effective action we need to be able to consider the $\Lambda \rightarrow \infty$ limit in conjunction with the infinite sum over $l$. This can be achieved as follows. Split the $l$ sum in (11) into two parts as

$$
\begin{equation*}
\Gamma_{\Lambda}^{S}(A ; m)=\sum_{l=0, \frac{1}{2}, \ldots}^{L} \Gamma_{\Lambda,(l)}^{S}(A ; m)+\sum_{l=L+\frac{1}{2}}^{\infty} \Gamma_{\Lambda,(l)}^{S}(A ; m) \tag{12}
\end{equation*}
$$

where $L$ is a large but finite integer. The first sum involves low partial wave modes, and the second sum involves the high partial wave modes. We consider each sum separately, before recombining them to obtain our final expression (22).

## 5. Low partial wave modes: determinants computed using Gelfand-Yaglom

The first sum in (12) is finite, so the cutoff $\Lambda$ may be safely removed, and for each $l$ the determinant can be computed using the Gelfand-Yaglom result (7). We can simplify the numerical computation further by noting that for the free massive Klein-Gordon partial-wave operator, $\mathcal{H}_{(l)}^{\mathrm{free}}+m^{2}\left(\right.$ with $\mathcal{H}_{(l)}^{\text {free }}$ given in (10)), the solution to (8) is

$$
\begin{equation*}
\psi_{(l)}^{\mathrm{free}}(r)=\frac{I_{2 l+1}(m r)}{r} \tag{13}
\end{equation*}
$$

This solution grows exponentially fast at large $r$, as do the numerical solutions to (8) for the operators $\mathcal{H}_{(l, j)}+m^{2}$, with $\mathcal{H}_{(l, j)}$ specified in (9). Thus, it is numerically better to consider the ODE satisfied by the ratio of the two functions

$$
\begin{equation*}
\mathcal{R}_{(l, j)}(r)=\frac{\psi_{(l, j)}(r)}{\psi_{(l)}^{\text {free }}(r)} ; \quad \mathcal{R}_{(l, j)}(0)=1 ; \quad \mathcal{R}_{(l, j)}^{\prime}(0)=0 \tag{14}
\end{equation*}
$$

This quantity has a finite value in the large $r$ limit, which is just the ratio of the determinants as in (7). In fact, since we are ultimately interested in the logarithm of the determinant, it is more convenient (and more stable numerically) to consider the logarithm of the ratio

$$
\begin{equation*}
S_{(l, j)}(r) \equiv \ln \mathcal{R}_{(l, j)}(r) \tag{15}
\end{equation*}
$$

which satisfies the differential equation

$$
\begin{equation*}
\frac{\mathrm{d}^{2} S_{(l, j)}}{\mathrm{d} r^{2}}+\left(\frac{\mathrm{d} S_{(l, j)}}{\mathrm{d} r}\right)^{2}+\left(\frac{1}{r}+2 m \frac{I_{2 l+1}^{\prime}(m r)}{I_{2 l+1}(m r)}\right) \frac{\mathrm{d} S_{(l, j)}}{\mathrm{d} r}=U_{(l, j)}(r) \tag{16}
\end{equation*}
$$

with boundary conditions

$$
\begin{equation*}
S_{(l, j)}(r=0)=0, \quad S_{(l, j)}^{\prime}(r=0)=0 \tag{17}
\end{equation*}
$$

The 'potential' term $U_{(l, j)}(r)$ in (16) is given by

$$
\begin{equation*}
U_{(l, j)}(r)=\frac{4(j-l)(j+l+1)}{r^{2}+1}-\frac{3}{\left(r^{2}+1\right)^{2}} \tag{18}
\end{equation*}
$$

To illustrate the computational method, in figure 2 we plot $S_{\left(l, l+\frac{1}{2}\right)}(r)$ and $S_{\left(l+\frac{1}{2}, l\right)}(r)$ for various values of $l$, with mass value $m=1$ (which is in the region in which neither the large nor small mass expansions is accurate). Note that the curves quickly reach an asymptotic large-r constant value, and also notice that the contributions from $S_{\left(l, l+\frac{1}{2}\right)}(r=\infty)$ and $S_{\left(l+\frac{1}{2}, l\right)}(r=\infty)$ almost cancel one another when summed. Indeed,

$$
\begin{align*}
P(l) & \equiv S_{\left(l, l+\frac{1}{2}\right)}(r=\infty)+S_{\left(l+\frac{1}{2}, l\right)}(r=\infty) \\
& \sim O\left(\frac{1}{l}\right), \quad l \rightarrow \infty . \tag{19}
\end{align*}
$$



Figure 2. Plots of the $r$ dependence of $S_{\left(l, l+\frac{1}{2}\right)}(r)$ and $S_{\left(l+\frac{1}{2}, l\right)}(r)$, solutions of the nonlinear differential equation in (16), for $m=1$, and for $l=0,10,20,30$. The upper curves are for $S_{\left(l, l+\frac{1}{2}\right)}(r)$, while the lower ones are for $S_{\left(l+\frac{1}{2}, l\right)}(r)$.


Figure 3. Plot of the $l$ dependence of $P(l)=S_{\left(l, l+\frac{1}{2}\right)}(r=\infty)+S_{\left(l+\frac{1}{2}, l\right)}(r=\infty)$, for $m=1 . P(l)$ behaves like $O\left(\frac{1}{l}\right)$ for large $l$.

This behaviour is illustrated in figure 3. At first sight, this looks like bad news, because when including the degeneracy factor the sum over $l$ is

$$
\begin{equation*}
\sum_{l=0, \frac{1}{2}, \ldots}^{L} \Gamma_{l}^{S}(A ; m)=\sum_{l=0, \frac{1}{2}, \ldots}^{L}(2 l+1)(2 l+2) P(l) . \tag{20}
\end{equation*}
$$

Therefore, the sum (20) has potentially divergent terms going like $L^{2}, L$ and $\ln L$, as well as terms finite and vanishing for large $L$. Remarkably, we find below that these divergent terms are exactly cancelled by the divergent large $L$ terms found in the previous section for the second sum in (12).

## 6. High partial wave modes: determinants computed using radial WKB

In the second sum in (12) we cannot take the large $L$ and large $\Lambda$ limits blindly, as each leads to a divergence. To treat these high $l$ modes, we use radial WKB [19], which is a good approximation in precisely this limit. This means we can compute analytically the large $\Lambda$ and large $L$ divergences of the second sum in (12), using the WKB approximation for the corresponding determinants. This is a straightforward computation, the details of which can
be found in $[1,19]$. We find the following analytic expression for the large $L$ behaviour

$$
\begin{align*}
\sum_{l=L+\frac{1}{2}}^{\infty} \Gamma_{\Lambda,(l)}^{S}(A ; m) \sim & \frac{1}{6} \ln \Lambda+2 L^{2}+4 L-\left(\frac{1}{6}+\frac{m^{2}}{2}\right) \ln L \\
& +\left[\frac{127}{72}-\frac{1}{3} \ln 2+\frac{m^{2}}{2}-m^{2} \ln 2+\frac{m^{2}}{2} \ln m\right]+O\left(\frac{1}{L}\right) \tag{21}
\end{align*}
$$

It is important to identify the physical role of the various terms in (21). The first term is the expected logarithmic counterterm, $\frac{1}{6} \ln \Lambda$, which is subtracted as in (4) to define the renormalized action. Since both $\Lambda$ and $\mu$ are measured in units of $1 / \rho$, this also explains the origin of the $\frac{1}{6} \ln \mu$ term in (5). The next three terms give quadratic, linear and logarithmic divergences in $L$. We shall show in the next section that these divergences cancel corresponding divergences in the first sum in (12), which were found in our numerical data. It is a highly nontrivial check on this WKB computation that these divergent terms have the correct coefficients to cancel these divergences. Note that the $\ln L$ coefficient and the finite term are mass dependent.

## 7. Putting it all together

We now combine the numerical results for the low partial wave modes with the radial WKB results for the high partial wave modes to obtain the minimally subtracted renormalized effective action $\tilde{\Gamma}_{\text {ren }}^{S}(m)$ as

$$
\begin{align*}
\tilde{\Gamma}_{\text {ren }}^{S}(m)=\lim _{L \rightarrow \infty} & \left\{\sum_{l=0, \frac{1}{2}, \ldots}^{L}(2 l+1)(2 l+2) P(l)+2 L^{2}+4 L-\left(\frac{1}{6}+\frac{m^{2}}{2}\right) \ln L\right. \\
+ & {\left.\left[\frac{127}{72}-\frac{1}{3} \ln 2+\frac{m^{2}}{2}-m^{2} \ln 2+\frac{m^{2}}{2} \ln m\right]\right\} } \tag{22}
\end{align*}
$$

The remarkable observation is that the large $L$ divergences found in the numerical results are precisely cancelled by the analytic large $L$ divergence found using WKB, leaving a finite answer for the renormalized effective action. This holds for any mass $m$. In figure 4 we plot these results for $\tilde{\Gamma}_{\text {ren }}^{S}(m)$, and compare them with the analytic small and large mass expansions in (6). The agreement is spectacular. Thus, our expression (22) provides a simple and numerically precise interpolation between the large mass and small mass regimes.

As an interesting analytic check, our formula (22) provides a very simple computation of 't Hooft's leading small mass result. When $m=0$, the $P(l)$ can be computed analytically: $P(l)=\ln \left[\frac{2 l+1}{2 l+2}\right]$. Then

$$
\begin{align*}
\tilde{\Gamma}_{\text {ren }}^{S} & =\lim _{L \rightarrow \infty}\left\{\sum_{l=0, \frac{1}{2}, \ldots}^{L} \operatorname{deg}(l) \ln \left(\frac{2 l+1}{2 l+2}\right)+2 L^{2}+4 L-\frac{1}{6} \ln L+\frac{127}{72}-\frac{1}{3} \ln 2\right\} \\
& =-\frac{17}{72}-\frac{1}{6} \ln 2+\frac{1}{6}-2 \zeta^{\prime}(-1) \\
& =\alpha\left(\frac{1}{2}\right)=0.145873 \ldots \tag{23}
\end{align*}
$$

which agrees precisely with the leading term in the small mass limit in (6).


Figure 4. Plot of our numerical results for $\tilde{\Gamma}_{\text {ren }}^{S}(m)$ from (22), compared with the analytic extreme small and large mass limits (dashed curves) from (6). The dots denote numerical data points from (22), and the solid line is a fit through these points. The agreement with the analytic small and large mass limits is very precise.


Figure 5. Plot of $\tilde{\Gamma}^{S}(m)$, comparing the leading derivative expansion approximation (solid line) from (24) with the precise numerical answers (dots). The dashed lines show the small and large mass limits from (6).

## 8. Comparison with the derivative expansion

In [19], the renormalized effective action $\tilde{\Gamma}_{\text {ren }}^{S}(m)$ was computed using the derivative expansion. Recall that the philosophy of the derivative expansion is to compute the one-loop effective action for a covariantly constant background field, which can be done exactly, and then perturb around this constant background solution. The leading order derivative expansion for the effective action is obtained by first taking the (exact) expression for the effective Lagrangian in a covariantly constant background, substituting the space-time dependent background, and then integrating over space-time. For an instanton background, which is self-dual, we base our derivative expansion approximation on a covariantly constant and self-dual background $[3,20]$. This leads to the following simple integral representation for the leading derivative expansion approximation to the effective action [19], also computed using the same charge renormalization subtraction in (4):

$$
\begin{align*}
\left.\tilde{\Gamma}_{\mathrm{ren}}^{S}(A ; m)\right]_{\mathrm{DE}} & =-\frac{1}{14} \int_{0}^{\infty} \frac{\mathrm{d} x x}{\mathrm{e}^{2 \pi x}-1}\left\{14 \ln \left(1+\frac{48 x^{2}}{m^{4}}\right)+7 \sqrt{3} \frac{m^{2}}{x} \arctan \left(\frac{4 \sqrt{3} x}{m^{2}}\right)\right. \\
& \left.-84+768 \frac{x^{2}}{m^{4}}{ }_{2} F_{1}\left(1, \frac{7}{4}, \frac{11}{4} ;-\frac{48 x^{2}}{m^{4}}\right)\right\}-\frac{1}{6} \ln m . \tag{24}
\end{align*}
$$

Figure 5 shows a comparison of this leading derivative expansion expression with the exact numerical data. In the range covered, the agreement is surprisingly good for such a crude approximation. One would expect good agreement in the large $m$ limit, but not in the small $m$ limit. I conjecture that this remarkable agreement for both small and large mass is due to the special conformal symmetry of the single instanton background [4, 17]. This is physically sensible, but has not yet been proved.

## 9. Concluding comments

Our angular momentum cutoff regularization and renormalization procedure of using the Gelfand-Yaglom result numerically for the low partial wave modes, and radial WKB for the exact large $L$ behaviour for the high partial wave modes, produces a finite renormalized effective action which interpolates precisely between the previously known small and large mass limits. This is a highly nontrivial check, as all three computations (small mass [4], large mass [8, 9], and all mass [1]) are independent. Our result also provides a simple interpolation formula [1] which can be translated into the instanton scale dependence at fixed quark mass, and this may be of phenomenological use.

Our computational method is versatile and can be adapted to a large class of previously insoluble computations of one-loop functional determinants in nontrivial backgrounds in various dimensions of space time, as long as the spectral problem of the given system can be reduced to that of partial waves. Hyunsoo Min's talk at this conference reports a new computation [21] of the false vacuum decay rate in a four-dimensional self-interacting scalar field theory. Here the classical 'bounce' solution is radial in 4D Euclidean space, so our technique is ideally suited to the computation of the fluctuation determinant about this bounce. An interesting feature here is the presence of a negative mode and zero modes. Interestingly, a different regularization method, also based on Gelfand-Yaglom, has recently been applied to the false vacuum decay problem by Baacke and Lavrelashvili [22].

Finally, we note that our method provides an extension of the Gelfand-Yaglom result for ODE's to the case of separable PDE's. As noted by Forman [14] for the 2D radial disc problem, the naive extension does not work because the product over angular momenta diverges, even though the result for each angular momentum is finite. Physically, this is because in higher dimensions renormalization is required. Our method incorporates renormalization and yields a finite physical answer for the determinant of the separable partial differential operator.

## Acknowledgments

This talk is based on work done with J Hur, C Lee and H Min, whom I thank for a fruitful and enjoyable collaboration. I also thank H Gies, V Khemani and K Kirsten for helpful comments, and the US DOE for support through the grant DE-FG02-92ER40716. Finally, I heartily thank the QFEXT05 organizers, especially Emilio Elizalde, for an inspiring conference in a truly inspiring city!

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